

Form ADV Part 2: Firm Brochure &
Brochure Supplement



WanderWealth Inc.

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This brochure provides information about the qualifications and business practices of WanderWealth Inc. If you have any questions about the contents of this brochure, please contact us at (919) 280-1560 or Tommy@WanderWealth.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about WanderWealth Inc. also is available on the SEC's website at www.adviserinfo.sec.gov.

The term "Registered Investment Adviser" does not imply a certain level of skill or training.

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Summary of Material Changes

This is a summary of changes to the brochure and discusses only specific material changes that have been made by section.

ADVISORY BUSINESS

Updated 'FEES AND COMPENSATION' - Removed a fixed \$500 fee per year per household in addition to a 0.50% Assets Under Management fee. Removed the \$10,000 max fee per household. The only fee is 0.50% on Assets Under Management. A description of how fees are calculated using average daily balance for each billing period was added.

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ADVISORY BUSINESS

WanderWealth Inc. is an investment management firm registered in North Carolina. The firm was started in 2006 and is owned by Thomas E. “Tommy” Sikes III .

WanderWealth Inc. manages investments on a **fee-only basis**. This means the firm does not receive commissions from any investment or insurance company product. The firm believes this is the most objective way to offer financial advice.

WanderWealth Inc., provides discretionary investment management to individuals.

As of December 31, 2022, WanderWealth Inc. managed \$5,071,229 of client assets on a discretionary basis.

FEES AND COMPENSATION

WanderWealth Inc., works with clients on a fee-only basis. Fees are negotiable and may vary, but generally follow the schedule below.

Annual Discretionary Investment Management Fee

0.50% per year on assets under management

Discretionary Investment Management fees are only charged on accounts held at Altruist LLC. AUM fees are billed monthly in arrears and debited from client accounts.

Fees are calculated using the average daily balance for each billing period.

For example, the fees for an account whose average daily balance was \$100,000, and for a month with 31 days (not a leap year) would be calculated as:

$(\$100,000.00 \times 0.50\%) \times (31 \text{ day} / 365 \text{ days}) = \$42.45 \text{ fee for that month}$

Invoices are posted within client’s Altruist accounts and show the fee calculation for each individual account, as well as the combined fees for all accounts.

In addition to advisory fees, clients may pay fees for custodial services and fund fees. Please see the section on **Brokerage Practices** for more details.

PERFORMANCE-BASED FEES

WanderWealth Inc. does not charge any performance-based fees.

TYPES OF CLIENTS

WanderWealth Inc. clients are primarily individuals and high net-worth investors.

METHOD OF ANALYSIS, INVESTMENT STRATEGIES AND RISK OF LOSS

WanderWealth Inc. uses a combination of active and passive investing strategies.

Passive Asset-Allocation Investing

WanderWealth Inc. believes it is difficult to beat the overall stock market consistently.

For that reason, WanderWealth uses a low cost, passive, diversified ETF portfolio for most clients. This passive portfolio may make up between 50% and 100% of a client's account(s).

Active Momentum Stock Investing

Momentum stock investing involves buying individual stocks in an uptrend and selling them when the uptrend stops.

The firm defines an uptrend as a stock trading above its Bullish Support Line (BSL) using a Point & Figure chart. The uptrend ends when the stock breaks through the BSL.

The stocks considered typically are components of the S&P 100 or Nasdaq 100 indexes, but other stocks may be considered. Individual stocks chosen are at the discretion of the portfolio manager, Thomas E. 'Tommy' Sikes III.

WanderWealth Inc. does not consider penny stocks or stocks with low trading volume.

No individual stock can make up more than 5% of the momentum portfolio when purchased. The percentage may change due to market movements.

The Momentum Stock strategy can make up between 0% and 50% of a client's account(s).

Due to tax consequences, the Momentum Stock strategy is most appropriate for tax deferred accounts like IRAs, Roth IRAs, SEP IRAs, or Solo-401ks.

Model Portfolios

WanderWealth Inc. has pre-constructed model portfolios. Based on a risk survey and

conversation with clients, the firm will recommend a certain model portfolio for each account.

Clients cannot alter these models, but may choose a different model than the one recommended. WanderWealth Inc. has sole discretion over the holdings and mix of model portfolios.

Model portfolios are updated regularly based on allocation changes due to market movements or based on changes in the momentum of individual stocks.

WanderWealth may advise the Client to alter that allocation from time to time. The firm has a duty to follow the Client's instructions if it differs from the firm's recommendation.

Due to tax impacts, client accounts that have been transferred in-kind for management by WanderWealth Inc. may be converted to a pre-constructed model portfolio over time.

Risks

Risks associated with investing include, but are not limited to: market risk, inflation risk, interest rate risk, concentration risk, and strategy risk.

WanderWealth Inc. does not guarantee or warranty that services or advice offered will result in profit.

Investing has risks including the possible loss of capital that clients should be willing to bear.

All investments have the risk of loss.

Remember past performance is no guarantee of future results.

DISCIPLINARY INFORMATION

Not applicable.

OTHER FINANCIAL INDUSTRY ACTIVITIES AND AFFILIATIONS

Not applicable.

CODE OF ETHICS, PARTICIPATION OR INTEREST IN CLIENT TRANSACTIONS AND PERSONAL TRADING

WanderWealth Inc. has a fiduciary duty to put its clients interests ahead of its own.

Neither WanderWealth Inc. nor its employees may participate in or have an interest in client transactions.

WanderWealth Inc. or its employees may invest in the same or different securities as clients.

BROKERAGE PRACTICES

WanderWealth Inc. offers investment advisory services through the custodial platform offered by Altruist Financial LLC (“Altruist”), an unaffiliated SEC-registered broker dealer and FINRA/SIPC member.

Custody, clearing and execution services are provided by Altruist Financial LLC as a self-clearing broker-dealer.

WanderWealth Inc.’s clients establish brokerage accounts through Altruist.

WanderWealth Inc. maintains an institutional relationship with Altruist whereby Altruist provides certain benefits to WanderWealth Inc., including a fully digital account opening process, a variety of available investments, and integration with software tools that can benefit WanderWealth Inc. and its clients.

WanderWealth Inc. is not affiliated with Altruist. Altruist does not supervise WanderWealth Inc., its agents, activities, or its regulatory compliance.

REVIEW OF ACCOUNTS

Client accounts are reviewed at least quarterly.

CLIENT REFERRALS AND OTHER COMPENSATION

WanderWealth Inc. does not pay compensation for referrals. WanderWealth Inc. does not receive compensation for providing referrals.

CUSTODY

WanderWealth Inc. does not maintain custody of client funds. Clients receive account statements monthly from Altruist detailing balances, holdings and transactions. Clients should carefully review those statements. WanderWealth Inc. does not provide clients with separate account statements or performance reports.

INVESTMENT DISCRETION

WanderWealth Inc. requires discretionary authority for managed accounts held at Altruist Financial LLC.

By signing the Investment Advisory Contract, clients authorize WanderWealth Inc. as agent and attorney-in-fact to buy, sell and trade in any and all securities held at Altruist Financial LLC, including without limitation stocks, exchange traded funds and mutual funds.

This trading authorization is limited to purchase and sale transactions, rebalancing and disbursement of funds directly to clients linked bank accounts.

VOTING CLIENT SECURITIES

WanderWealth Inc. will not be required to take any action or render any advice with respect to the voting of proxies solicited by issuers of securities in which assets of a client's account may be invested.

FINANCIAL INFORMATION

WanderWealth Inc. will continuously maintain any bond or net worth requirements to fulfill its duties regarding discretionary investment management.

REQUIREMENTS FOR STATE REGISTERED ADVISERS

The principal officer of WanderWealth Inc. is Thomas E. “Tommy” Sikes III, President. He has held this position since 2006.

Mr. Sikes received his Bachelor of Arts degree from UNC-Chapel Hill. He previously worked as a registered representative for Edward Jones Investments from 2003 to 2006. Prior to Edward Jones, Mr. Sikes worked at IBM from 2000-2003.

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EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Thomas E. Sikes III was born January 4, 1971. He attended the University of North Carolina at Chapel Hill and graduated with a Bachelor of Arts degree.

He has served as President and Adviser of WanderWealth Inc. (formerly Sikes Capital Inc.) since 2006. He previously worked as a registered representative of Edward Jones Investments from 2003-2006.

Mr. Sikes is a CERTIFIED FINANCIAL PLANNER™ professional.

DISCIPLINARY INFORMATION

Not applicable.

OTHER BUSINESS ACTIVITIES

Mr. Sikes owns Traveltirement LLC, a financial education and media company.

ADDITIONAL COMPENSATION

Mr. Sikes receives compensation as the owner of Traveltirement LLC.

SUPERVISION

Thomas E. Sikes III is responsible for supervision.

REQUIREMENTS FOR STATE REGISTERED ADVISERS

Not applicable.